# Efficient Language Modeling Algorithms with Applications to Statistical Machine Translation

Kenneth Heafield

September 20, 2013

#### CPU and RAM Costs Matter

"had to favor speed over performance" [Moreau et al, 2013]

"could not test whether this result holds in a large scale evaluation" [Durrani et al, 2013]

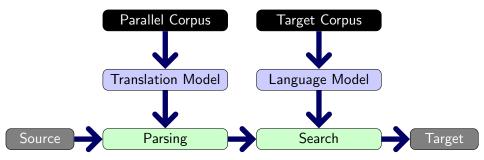
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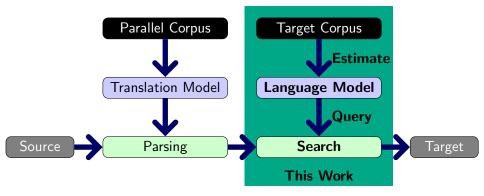
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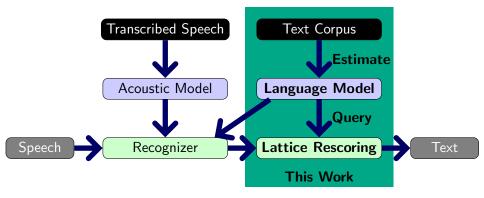
#### Application: Syntactic MT



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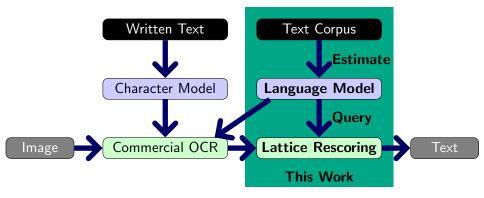


# Speech Recognition



Some of the thesis is already used for speech [Kim et al, 2012; Si et al, 2013].

# **Optical Character Recognition**



#### Numen (2013) is using most of this thesis for OCR.

#### Language Models Are Expensive

 $\implies$  RAM

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Store a sparse set of 121 billion *n*-grams

 Intro
 Estimation
 Queries
 Decoding
 State
 Score Estimates
 Search

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Probability does not multiply when strings are concatenated:

$$p(saw the man) \neq p(saw)p(the man)$$

#### $\implies$ Search is hard $\implies$ CPU

#### Thesis Problem

Much of the CPU and RAM cost is due to the language model. Researchers routinely compromise quality due to these costs.

## Costs Due To Language Models

Estimation from text Probability queries Search when the objective includes log probability

#### **Results Preview**

	Speed	RAM
Estimation from	Text 7.1x	0.07x
Raw Queries	2.4x	0.57x
Decoding	3.2–10.0x	0.85x

Decoding performance includes  $\approx 1.15x$  speedup from raw queries. Baseline: SRILM and cube pruning (more later).

## Outline

	Speed RAM	Published
1 Estimation from Text	t 7.1x 0.07x	ACL 2013
2 Raw Queries		WMT 2011
		(IWSLT 2011,
3 Decoding	3.2–10.0x 0.85x	<b>EMNLP</b> 2012,
	3.2–10.0x 0.85x	NAACL 2013

#### Estimating LMs is Costly

MIT RAM SRI RAM, time IRST RAM, time, approximation Berkeley RAM, time, approximation

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Microsoft Delay some computation to query time Google 100–1500 machines, optional stupid backoff

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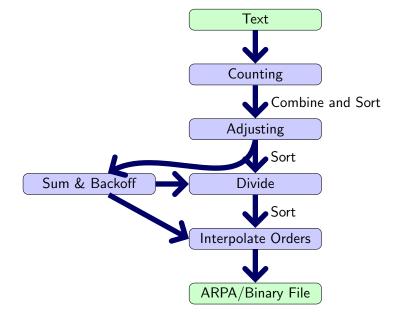
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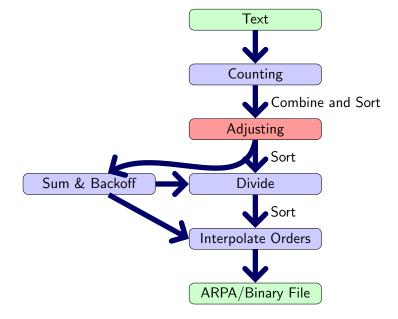
"When, oh when, will there be an alternative?"

#### Implz Features

- Disk-based streaming and sorting
- User-specified RAM
- Fast
- Interpolated modified Kneser-Ney

#### 7.7% of SRI's RAM, 14% of SRI's wall time





## Adjusting

Adjusted counts are:

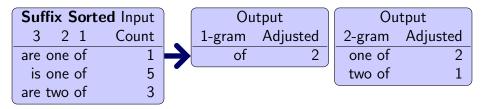
- Trigrams Same as counts.
  - Others Number of unique words to the left.

## Adjusting

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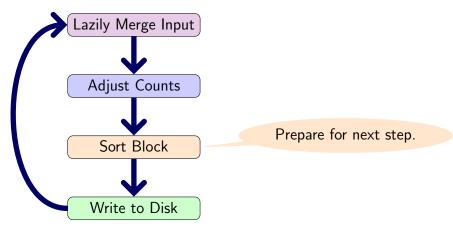
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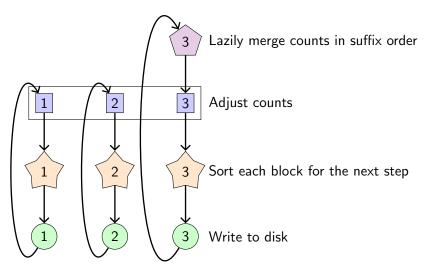


#### Streaming Framework

Memory is divided into blocks. Blocks are recycled.



#### Adjusted Counts Detail

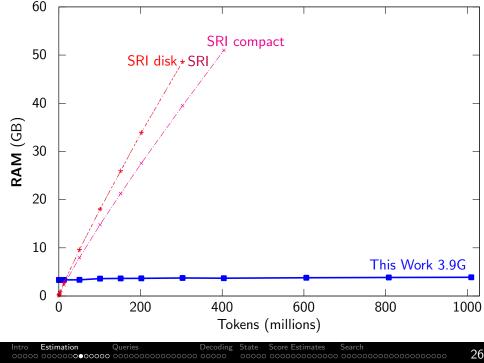


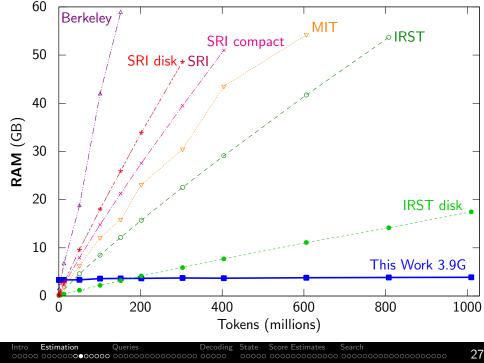
Each vertex is a thread  $\implies$  Simultaneous disk and CPU.

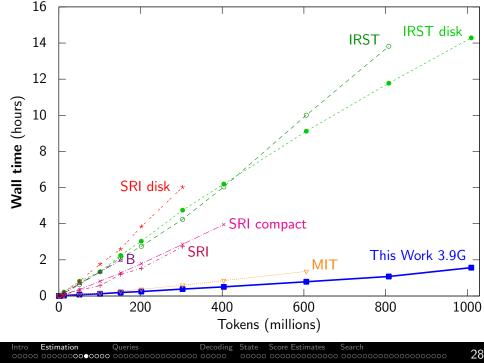
#### Experiment: Toolkit Comparison

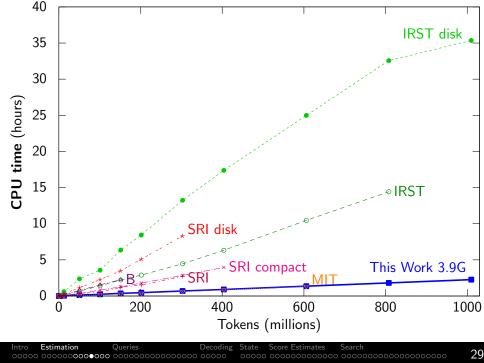
TaskBuild an unpruned 5-gram LMDataSubset of English ClueWeb09 (webpages)Machine64 GB RAMOutput FormatBinary (or ARPA when faster)

IRST disk: 3-way split. Peak RAM of any one process (as if run serially). Berkeley: Binary search for minimum JVM memory.









# Scaling



Counts					
	1	2	3	4	5
This Work 126B	393m	3,775m	17,629m	39,919m	59,794m
Pruned Google 1T	14m	315m	977m	1,313m	1,176m

(This work used a machine with 140 GB RAM and a RAID5 array.)

# Scaling

	Tokens	Smoothing	Machines	Days	Year
This Work	126 billion	Kneser-Ney	1	2.8	2013
Google	31 billion	Kneser-Ney	400	2	2007
Google	230 billion	Kneser-Ney	?	?	2013
Google	1800 billion	Stupid	1500	1	2007

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#### Workshop on Statistical MT Results

Compress the big LM to 676 GB

- Oecode with 1 TB RAM
- Make three WMT 2013 submissions

	Czech–English		French–English		Spanish–English	
	Rank	BLEU	Rank	BLEU	Rank	BLEU
This Work	1	28.16	1	33.37	1	32.55
Google	2–3	27.11	2–3	32.62	2	33.65
Baseline	3–5	27.38	2–3	32.57	3–5	31.76

#### Future Work on Estimation

- Pruning
- $\bullet$  Linearly interpolate separately trained models  $\rightarrow$  SRI's ARPA output is misleading.
- More smoothing methods
- Parallelization by data splitting

## Outline

 
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 7.1x
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 ACL 2013

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 3 Decoding
 3.2–10.0x
 0.85x
 [IWSLT 2011, EMNLP 2012, NAACL 2013

#### **Raw Queries**

Answer language model queries using less time and memory.

#### Example Language Model

Unigrams				
Words	log p	log b		
<s $>$	$-\infty$	-2.0		
iran	-4.1	-0.8		
is	-2.5	-1.4		
one	-3.3	-0.9		
of	-2.5	-1.1		

Bigrams					
Words	log p	log b			
$<\!\!\mathrm{s}\!\!>\mathrm{iran}$	-3.3	-1.2			
iran is	-1.7	-0.4			
is one	-2.0	-0.9			
one of	-1.4	-0.6			

Trigrams				
Words	log p			
<s $>$ iran is	-1.1			
iran is one	-2.0			
is one of	-0.3			

## **Example Queries**

Unigrams				
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Trigrams			
Words	log p		
<s $>$ iran is	-1.1		
iran is one	-2.0		
is one of	-0.3		

Query: <s> iran is</s>		
$\log p(is \mid \langle s \rangle iran)$	= -1.1	

Query: iran is of	
$\log p(\text{of})$	-2.5
$\log b(is)$	-1.4
log b(iran is)	+ -0.4
log p(of   iran is)	= -4.3

#### Trie Based

- CMU-Cambridge Early implementation
  - SRI Popular, considered fast, high-memory
  - IRST Smaller than SRI, single-threaded
  - MIT Batch querying
  - TPT Memory locality
  - Joshua Java, "not as scalable as the SRILM" [Li et al]
  - Berkeley Java

#### Trie Based

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#### Lossy Low-Memory

- Rand Bloom maps
- Shef Minimal perfect hashing
- Google Minimal perfect hashing, larger than Shef

#### KenLM Features

- Faster than all baselines
- Lowest lossless memory
- Multithreaded
- Quick loading via memory mapping
- Easier to compile

#### Data Structures

Probing Fast. Trie Small. But still fast.

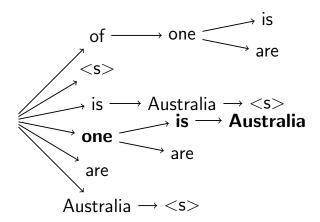
# Probing

#### Hash every *n*-gram to a 64-bit integer. Ignore collisions. Store *n*-grams in custom linear probing hash tables.

Fastest, 24 bytes/*n*-gram (still less than SRI).

Trie

Reverse *n*-grams, arrange in a trie.



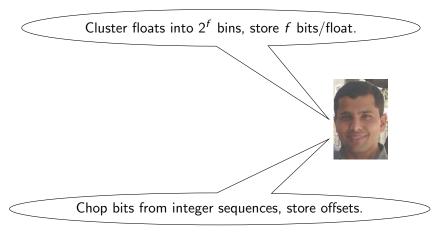
#### Smaller than most, faster than all but probing.

## Optimizing the Trie

CPU Interpolation search instead of binary search [Yehoshua et al, 1978]

RAM Pack at the bit level i.e.  $\log p$  has no sign bit

#### Options to Save More Memory

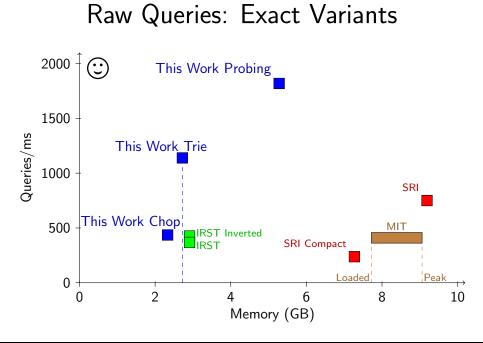


#### [Whittaker and Raj, 2001; Raj and Whittaker, 2003]

#### Experiment: Raw Queries

# TaskScore the English Gigaword corpusModel5-gram Europarl + deduped news crawl 2011

Queries/msExcludes loading and file reading timeLoaded RAMResident after loadingPeak RAMPeak virtual after scoring



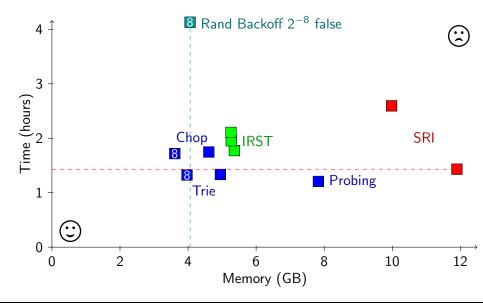
#### Raw Queries: All Tested Variants 2000 -This Work Probing 1500 Queries/ms This Work Trie 8 Berkeley Scroll 1000 19 SRI 19 Berkeley Hash This Work Chop 500 MIT nverted 19IRST SRI Compact Berkeley Compress 19 8 Rand Backoff $p(false) = 2^{-8}$ 0 2 8 Memory (GB)

10

## Experiment: Translate 3003 Sentences

Task	WMT 2011 French–English baseline
Decoder	Moses
Model	5-gram Europarl+News LM (same as before)
Formalism	Phrase-based from Europarl
	Total wall time, including loading Total resident memory after decoding

#### Moses Benchmarks: Single Threaded

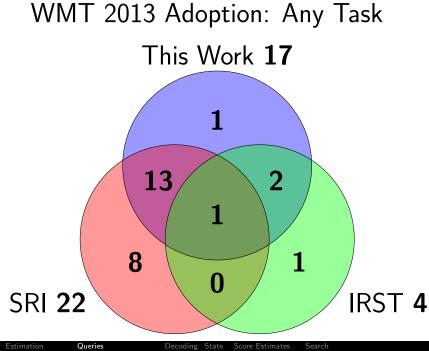


Intro Estimation Queries Decoding State Score Estimates Search

#### New: Yasuhara et al, EMNLP 2013

"An Efficient Language Model Using Double-Array Structures"

- 19% less RAM and 4-9% faster than this work's probing method.
- More RAM than the trie method.
- 4 days to build a data structure with 936 million *n*-grams.

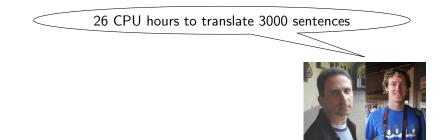


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Decoding performance includes  $\approx 1.15x$  speedup from raw queries. Baseline: SRILM and cube pruning (more later).

# Parsing-Based MT is Slow



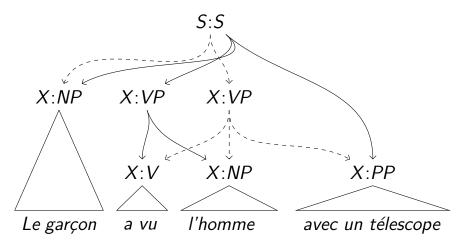
French–English system from Ammar et al [2013] using cdec, a 4-gram LM, and cube pruning with beam size 200.

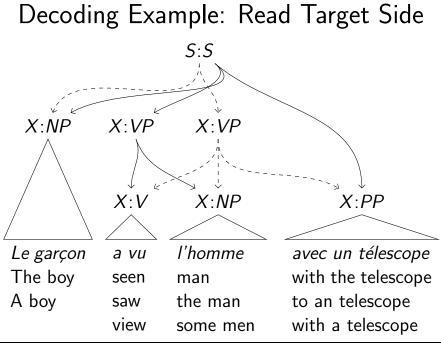
#### Decoding Example: Input

#### Le garçon a vu l'homme avec un télescope

Intro Estimation Queries Decoding State Score Estimates Search

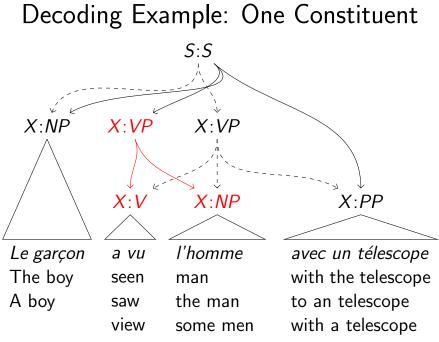
#### Decoding Example: Parse with SCFG





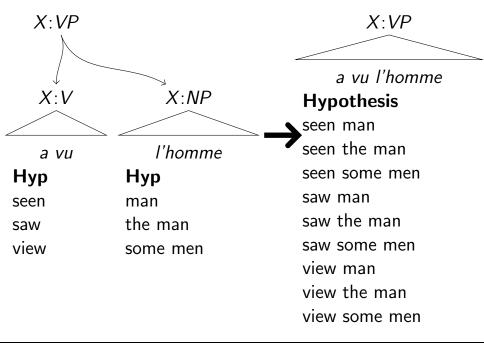
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$\downarrow$	
X:V	X:NP
a vu	l'homme
Нур	Нур
seen	man
saw	the man
view	some men

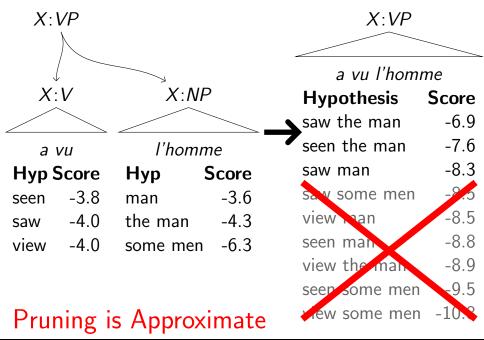


X:1	/P			X:VP	
$\downarrow$		~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~		a vu l'homn	ne
X:V		X:NP		Hypothesis	Score
			<u> </u>	seen man	-8.8
a١	/u	l'homm	ne –	seen the man	-7.6
Hyp S	Score	Нур S	Score	seen some men	-9.5
seen	-3.8	man	-3.6	saw man	-8.3
saw	-4.0	the man	-4.3	saw the man	-6.9
view	-4.0	some men	-6.3	saw some men	-8.5
				view man	-8.5
				view the man	-8.9
				view some men	-10.8

X:	VP				X:VP	
	$\bigwedge$			_		
ļ	,				a vu l'homi	ne
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				view the man	-8.9
				seen some men	-9.5
Scor	es do	not sum		view some men	-10.8

#### Scores do not sum



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## Appending Strings

Hypotheses are built by string concatenation. Language model probability changes when this is done:

 $\frac{p(\mathsf{saw the man})}{p(\mathsf{saw})p(\mathsf{the man})} = \frac{p(\mathsf{the} \mid \mathsf{saw})p(\mathsf{man} \mid \mathsf{saw the})}{p(\mathsf{the})}$ 

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$$\frac{p(\mathsf{saw the man})}{p(\mathsf{saw})p(\mathsf{the man})} = \frac{p(\mathsf{the} \mid \mathsf{saw})p(\mathsf{man} \mid \mathsf{saw the})}{p(\mathsf{the})}$$

Log probability is part of the score

- $\implies$  Scores do not sum
- $\implies$  Local decisions may not be globally optimal
- $\implies$  Search is hard.

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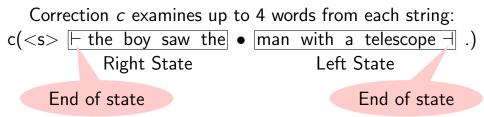
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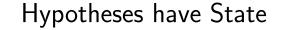
$$c(\mathsf{saw} \bullet \mathsf{the man}) = \frac{p(\mathsf{saw the man})}{p(\mathsf{saw})p(\mathsf{the man})} = \frac{p(\mathsf{the} \mid \mathsf{saw})p(\mathsf{man} \mid \mathsf{saw the})}{p(\mathsf{the})}$$

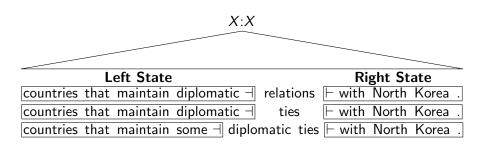
What words does correction c examine?

#### Markov Assumption

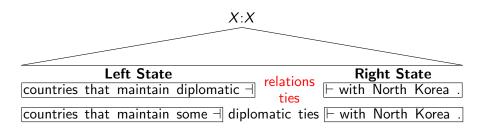
A 5-gram language model uses up to 4 words of context:  $p(man \mid \langle s \rangle$  the boy saw the) =  $p(man \mid the boy saw the)$ 





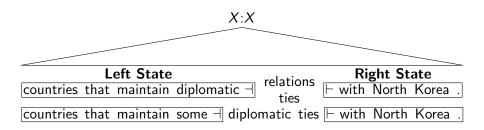


#### State Controls Recombination



The decoder may recombine hypotheses with equal state.

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#### Smaller state

- $\implies$  More recombination
- $\implies$  Reason over more hypotheses at once
- $\implies$  Improved time-accuracy tradeoff.

#### Efficiently Minimizing State

Li et al [2008] Criterion for state minimization. "Inefficient implementation"

This Work (IWSLT 2011)

Repurpose log probability sign bit. Use existing lookups. Encode state to make queries faster.

# Efficiently Minimizing State

Li et al [2008] Criterion for state minimization. "Inefficient implementation"

This Work (IWSLT 2011) Repurpose log probability sign bit. Use existing lookups. Encode state to make queries faster.

**11% faster** than right state minimization alone. On hierarchical Chinese–English with beam size 1000.

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#### Baseline: How to Score a Fragment

 $\begin{array}{rl} \log p_5(\text{is}) &= -2.63 \\ \log p_5(\text{one} \mid \text{is}) &= -2.03 \\ \log p_5(\text{of} \mid \text{is one}) &= -0.24 \\ \log p_5(\text{the} \mid \text{is one of}) &= -0.47 \\ + \log p_5(\text{few} \mid \text{is one of the}) &= -1.26 \\ \hline &= \log p_5(\text{is one of the few}) &= -6.62 \end{array}$ 

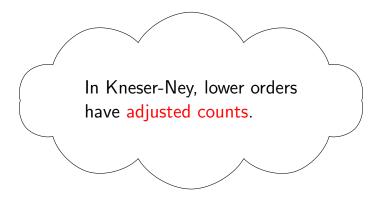
Intro Estimation Queries Decoding State Score Estimates Search

#### The Problem: Lower Order Entries

5-Gram Model:  $\log p_5(is) = -2.63$ Unigram Model:  $\log p_1(is) = -2.30$ Same training data.

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#### Build One Model For Each Order

BaselineLower $\log p_5(is)$ = -2.63 $-2.30 = \log p_1$  $\log p_5(one \mid is)$ = -2.03 $-1.92 = \log p_2$  $\log p_5(of \mid is one)$ = -0.24 $-0.08 = \log p_3$  $\log p_5(the \mid is one of)$ = -0.47 $-0.21 = \log p_4$  $+ \log p_5(few \mid is one of the)$ = -1.26 $-1.26 = \log p_5$  $= \log p_5(is one of the few)$ = -6.62 $-5.77 = \log p_{Low}$ 

#### Storing Lower Order Models

One extra float per entry, except for longest order.

0				
Words	$\log p_5$	$\log b_5$	$\log p_1$	
australia	-3.9	-0.6	-3.6	
is	-2.6	-1.5	-2.3	
one	-3.4	-1.0	-2.9	
of	-2.5	-1.1	-1.7	

#### No need for backoff $b_1$

If backoff occurs, use of  $p_5$  is appropriate.

#### Storing Lower Order Models

One extra float per entry, except for longest order. Unigrams

Words	$\log p_5$	$\log b_5$	$\log p_1$	
australia	-3.9	-0.6	-3.6	
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#### No need for backoff $b_1$

If backoff occurs, use of  $p_5$  is appropriate.

#### Related: store upper bounds [Carter et al, also EMNLP 2012].

#### So far Better estimates but more memory. Next Worse estimates with less memory.

#### Pessimism

Assume backoff all the way to unigrams.

q(is one of) = p(is one of)b(is one of)b(one of)b(of)

Sentence Scores Are Unchanged

$$q(<\!\!\mathrm{s}\!\!>\!\cdots<\!\!/\!\!\mathrm{s}\!\!>)=p(<\!\!\mathrm{s}\!\!>\!\cdots<\!\!/\!\!\mathrm{s}\!\!>)$$

because  $b(\cdots < /s >) = 1$ 

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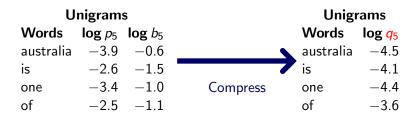
because 
$$b(\cdots < /s >) = 1$$

#### Telescoping

$$q(is) = p(is)b(is)$$
$$q(one \mid is) = p(one \mid is)\frac{b(is \text{ one})b(one)}{b(is)}$$

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#### Saving Memory

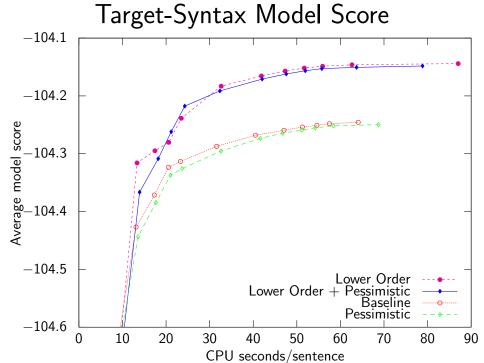


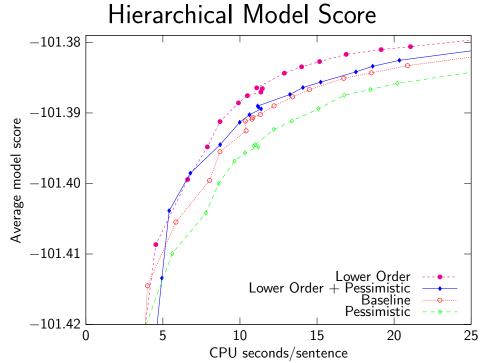
One less float per entry, except for longest order.

# Backoff smoothing with RAM comparable to stupid backoff's counts. Includes Kneser-Ney.

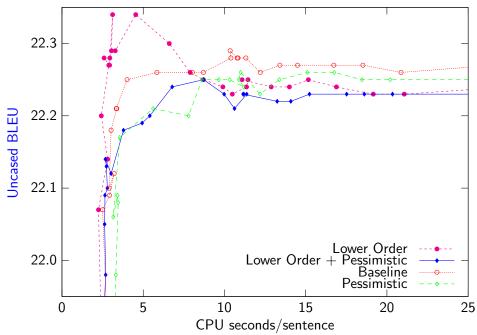
#### Experiments

Task WMT 2011 German-English Decoder Moses with probing LM + state minimization LM 5-gram from Europarl, news commentary, and news Grammar Target-syntax and hierarchical systems Parser Collins





#### **Hierarchical BLEU**



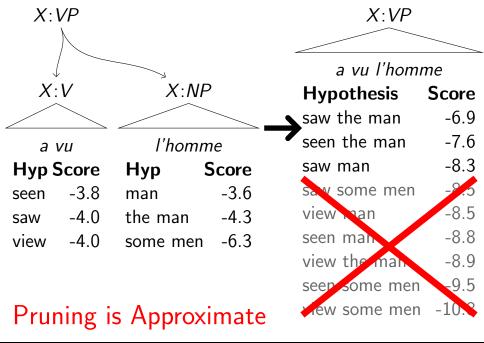
#### Memory

Effect of adding or removing a float per entry.

Structure	Baseline (MB)	Change (MB)	%
Probing	4,072	517	13%
Trie	2,647	506	19%
8-bit quantized trie	1,236	140	11%
8-bit minimal perfect has	h 540	140	26%

# Outline

	Speed	RAM	Published
1 Estimation from Text	7.1x	0.07x	ACL 2013
2 Raw Queries	2.4x	0.57x	WMT 2011
3 Decoding	3.2–10.0x	0.85x	
1 State and Recombination	n		IWSLT 2011
2 Score Estimates		EMNLP 2012	
3 New Search Algorithm	n		<b>NAACL 2013</b>



#### Beam Search [Lowerre, 1976; Chiang, 2005]

	man -3.6	the man -4.3	some men – 6	j.3
seen -3.8	seen man $-8.8$	seen the man $-7.6$	seen some men –	9.5
saw -4.0	saw man $-8.3$	saw the man $-6.9$	saw some men $-8$	8.5
view -4.0	view man $-8.5$	view the man $-8.9$	view some men $-10$	0.8

#### Baseline: Cube Pruning [Chiang, 2007]

man -3.6 the man -4.3 some men -6.3 seen -3.8 Queue saw -4.0 view -4.0

Queue Hypothesis Sum →seen man -3.8-3.6=-7.4

#### Baseline: Cube Pruning [Chiang, 2007]

 $\begin{array}{rrrr} man & -3.6 & the man & -4.3 & some men & -6.3 \\ seen & -3.8 & seen man & -8.8 & Queue \\ saw & -4.0 & Queue \\ view & -4.0 \end{array}$ 

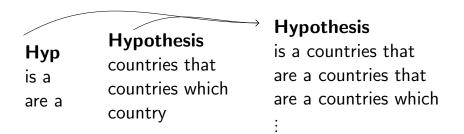
# QueueHypothesisSum $\rightarrow$ saw man-4.0-3.6=-7.6seen the man-3.8-4.3=-8.1

#### Baseline: Cube Pruning [Chiang, 2007]

	man -3.6	the man -4.3	some men –6.3
seen -3.8	seen man $-8.8$	Queue	
saw -4.0	saw man $-8.3$	Queue	
view $-4.0$	Queue		

Queue		
Hypothesis	Sum	
→view man	-4.0 - 3.6 = -7.6	
seen the man	-3.8 - 4.3 = -8.1	
saw the man	-4.0 - 4.3 = -8.3	

#### Problem With Cube Pruning



#### No notion that "a countries" is bad.

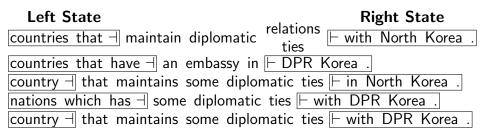
Intro Estimation Queries Decoding State Score Estimates Search

#### Problem With Cube Pruning

Hyp is a are a	Hypothesis countries that countries which country	<b>Hypothesis</b> is a countries that are a countries that are a countries which
	country	:

# No notion that "a countries" is bad. Idea: group by outermost words.

#### Example Hypotheses

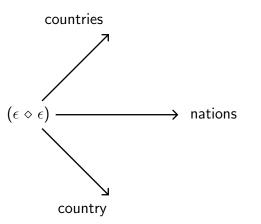


#### Example Hypotheses

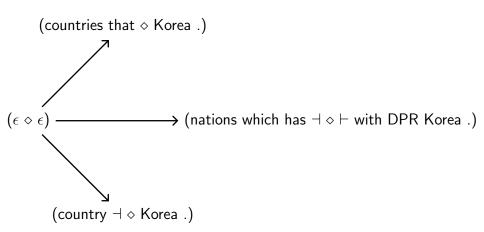
# Left StateRight State(countries that $\neg \diamond \vdash$ with North Korea .)(nations which has $\neg \diamond \vdash$ with DPR Korea .)(countries that have $\neg \diamond \vdash$ DPR Korea .)(country $\neg \diamond \vdash$ in North Korea .)(country $\neg \diamond \vdash$ with DPR Korea .)

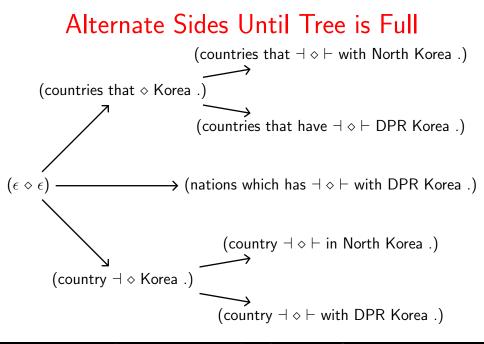
- $\dashv$  Left state is completely present.
- ♦ Stands for elided words
- $\vdash$  Right state is completely present.

#### Group by Leftmost Word



#### Reveal Common Words in Each Group





#### Using Rules

is a X:NP1   
turns into  
is a 
$$(\epsilon \diamond \epsilon)$$

X:V1 the X:N2 turns into  $(\epsilon \diamond \epsilon)$  the  $(\epsilon \diamond \epsilon)$  $\overbrace{X:V1}$   $\overbrace{X:N2}$ 

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#### Exploring and Backtracking

Does the LM like "is a (countries that  $\diamond$  Korea .) </s>"? Yes Try more detail.

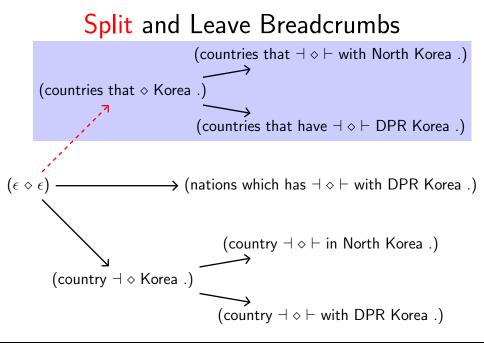
No Consider alternatives.

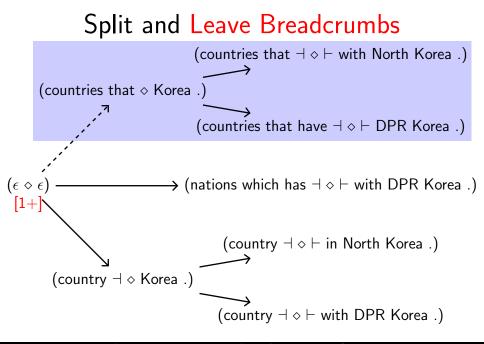
#### Exploring and Backtracking

Does the LM like "is a (countries that  $\diamond$  Korea .) </s>"? Yes Try more detail.

No Consider alternatives.

Formally: priority queue containing breadcrumbs.





## The queue entry

is a  $(\epsilon \diamond \epsilon) </s>$ 

## splits into

Zeroth Child "is a (countries that  $\diamond$  Korea .) </s>" Other Children "is a  $(\epsilon \diamond \epsilon)[1+] </s>$ "

### Children except the zeroth.

Intro Estimation Queries Decoding State Score Estimates Search

# Summary So Far

A priority queue contains competing entries:

is a (countries that  $\diamond$  Korea .) </s>( $\epsilon \diamond \epsilon$ ) the ( $\epsilon \diamond \epsilon$ ) is a ( $\epsilon \diamond \epsilon$ )[1+] </s>

The algorithm pops the top entry, splits a non-terminal, and pushes.

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The algorithm pops the top entry, splits a non-terminal, and pushes.

### Next: Scoring queue entries

## Scores come from the best descendant:

Score( $\epsilon \diamond \epsilon$ )= Score(countries that  $\neg \diamond \vdash$  with North Korea .)

 $\mathsf{Score}(\epsilon \diamond \epsilon)[1+] = \mathsf{Score}(\mathsf{nations} \ \mathsf{which} \ \mathsf{has} \dashv \diamond \vdash \mathsf{with} \ \mathsf{DPR} \ \mathsf{Korea} \ .)$ 

Intro Estimation Queries Decoding State Score Estimates Search

## Estimates Update as Words are Revealed

# Summary: Processing a Constituent

- Initialize: Push rules onto a priority queue.
- e Best-First Loop:
  - Pop the top entry.
  - If it's complete, add to the beam. Otherwise, split and push.
- Finalize: Convert the beam to a tree (lazily).

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Process constituents in bottom-up order (like cube pruning).

## Coarse-to-Fine [Zhang et al, 2008; Petrov et al, 2008]

Decode multiple times, each with more detail:

- LM order
- Word classes

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Decode multiple times, each with more detail:

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### Key Difference

Coarse-to-Fine Lock-step refinement This Work Locally refine on demand

### Future Work

- Use this work for each decoding pass
- Word classes for this work

# Exact Algorithms

- Weighted finite state transducers [lglesias et al, 2011]
- Integer linear programming [Rush et al, 2011]
- Later: upper bounds and LM refinement [Aziz et al, WMT 2013]

Currently intractable for large MT (7 hours for a 7-word sentence)  $\implies$  Used as first pass of *approximate* coarse-to-fine.

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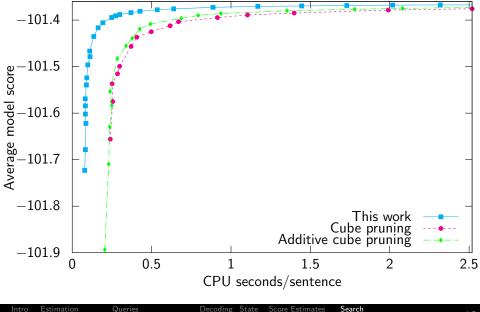
### Key Difference

Approximation based on average-case scores before expanding hypotheses.

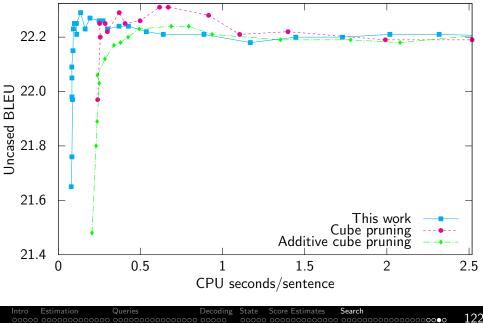
## Experiment

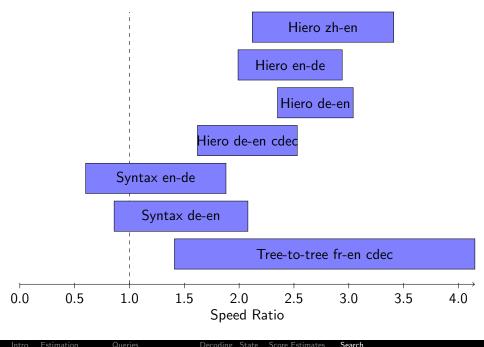
Task WMT 2011 German-English Built [Koehn et al, 2011] Model Hierarchical Decoder Moses Baseline Queries + State + Rest Costs

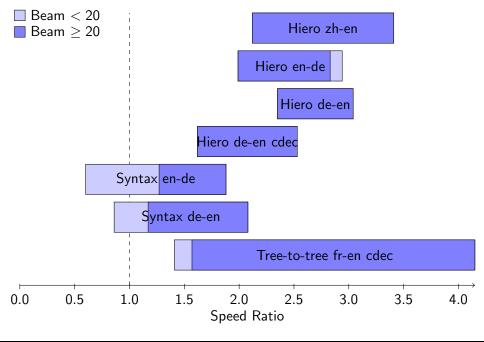
## **Moses Hierarchical**



## Moses Hierarchical







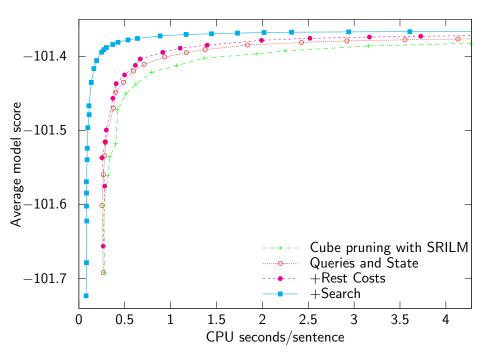
# Summary

Optimized the entire LM pipeline from estimation to search.

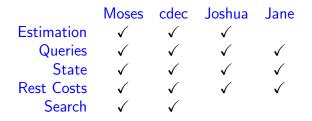
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ComparisonTaskWMT 2011 German-EnglishBuilt[Koehn et al, 2011]ModelHierarchicalDecoderMoses



# Decoder Support



kheafield.com/code

# Questions?

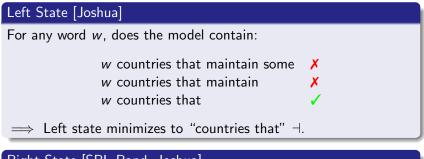
# Full State Minimization

Keep only words that might form cross-hypothesis *n*-grams.

Left State [Joshua]	
For any word $w$ , does the model contain:	
w countries that maintain some	×
w countries that maintain	×
w countries that	<ul> <li>Image: A second s</li></ul>
$\implies$ Left state minimizes to "countries that" $\dashv$ .	

# Full State Minimization

Keep only words that might form cross-hypothesis *n*-grams.



### Right State [SRI, Rand, Joshua]

For any word w, does the model contain:

```
with North Korea . w 🗸
```

 $\implies$  Right state minimizes to  $\vdash$  "with North Korea ."

## Related Work on State

### Joshua Left and right but "inefficient implementation" SRI Right only, additional lookups

This Work Repurpose memory, existing lookups Also: encode state to make queries faster

# Experimental Setup

- Task NIST Chinese–English [Koehn, 2011]
- LM Xinhua and AFP from English Gigaword 4 + Parallel Data
- Grammar Hierarchical
- Decoder Moses with cube pruning and faster raw queries

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- Grammar Hierarchical
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### 11% faster

# Experiments: Systems

### Hierarchical with Moses [Koehn, 2012]

- German-English also ported to cdec, Joshua, and Jane
- English–German
- Chinese–English

### Target Syntax with Moses [Koehn, 2012]

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French–English

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### Baseline and improved rest costs, 2-3 flavors of cube pruning.